



## **Proxy Voting Record**

**For the Period: July 1, 2017 to June 30, 2018**

# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Annual General Meeting of Shareholders
Ticker	FCA/U	Meeting Date	June 23, 2018
Exchange	TSX-V	Supporting Document	Management Proxy Circular
CUSIP	318322203	Vote Date	July 19, 2018
Consent Fee	N/A	Record Date	June 6, 2018

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	Election of Directors Geoffrey Bledin Eli Dadouch Pat DiCapo Robert Janson Kursat Kacira Sandy Poklar Keith L. Ray Scott Reid Howard Smuschkowitz	Management	For For For For For For For For For	For For For For For For For For For
2	Appointment of Auditors Re-appoint PricewaterhouseCoopers LLP and authorize the board of directors of the Corporation to fix their remuneration	Management	For	For
3	Re-Approval of Option Plan To consider and, if thought advisable, to pass an ordinary resolution, the full text of which is set out in Appendix B to the accompanying Circular, re-approving the Corporation's rolling stock option plan, as more particularly described in the accompanying Circular.	Management	For	For

# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Annual General Meeting of Shareholders
Ticker	CWX	Meeting Date	May 8, 2018
Exchange	TSX	Supporting Document	Management Proxy Circular
CUSIP	13874X202	Vote Date	May 4, 2018
Consent Fee	N/A	Record Date	March 19, 2018

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	Election of Directors Amar S. Doman Stephen S. Marshall Marc Seguin Ian M. Baskerville Tom Donaldson Kelvin Dushnisky Sam Flesier Harry Rosenfeld Siegfried J. Thoma	Management	For For For For For For For For For For	For For For For For For For For For For
2	Appointment of Auditors Appointment of Ernst & Young LLP as Auditors and authorization of the board of directors to fix the auditor's remuneration, as specified in the Information Circular	Management	For	For
3	Amended and Restated Restricted Equity Share Plan Approval, ratification and confirmation of the Company's third amended and restated restricted equity share plan	Management	For	For
4	Amended and Restated By-Law Approval, ratification and confirmation of the Company's Amended and Restated Advance By-Law No. 2	Management	For	For

# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Annual General Meeting of Shareholders
Ticker	SXP	Meeting Date	May 9, 2018
Exchange	TSX	Supporting Document	Management Proxy Circular
CUSIP	86863R105	Vote Date	May 7, 2018
Consent Fee	N/A	Record Date	April 4, 2018

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	Election of Directors	Management		
	Nicole Boivin		For	For
	Stewart Emerson		For	For
	Robert B. Johnston		For	For
	Georges Kobrynsky		For	For
	Dany Paradis		For	For
	Steven P. Richardson		For	For
	Andrew I (Drew) Sullivan		For	For
	Warren J. White		For	For
2	Appointment of Ernst & Young LLP	Management		
	To serve until the end of the next annual Shareholder meeting or until their successors are appointed and authorize the Directors of the Company to fix their remuneration		For	For

# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Annual General Meeting of Shareholders
Ticker	IPO	Meeting Date	May 15, 2018
Exchange	TSX	Supporting Document	Management Proxy Circular
CUSIP	45780T206	Vote Date	May 11, 2018
Consent Fee	N/A	Record Date	April 10, 2018

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	Number of Directors To fix the Number of Directors to be elected at seven (7) members.	Management	For	For
2	Election of Directors Douglas J. Bartole Donald Cowie Craig Golinowski Dennis L. Nerland Stephen C. Nikiforuk Dale O. Shwed Stephen Yuzpe	Management	For For For For For For For	For For For For For For For
3	Appointment of Auditors Appointment of PriceWaterhouseCoopers LLP, Chartered Accounts, as Auditors of the Corporation for the ensuing year and authorizing the Directors to fix their remuneration.	Management	For	For

# R a v e n s o u r c e F u n d

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Special Meeting
Ticker	GRC	Meeting Date	May 31, 2018
Exchange	TSX-V	Supporting Document	Management Proxy Circular
CUSIP	39773A100	Vote Date	May 29, 2018
Consent Fee	N/A	Record Date	April 16, 2018

Item	Proposal	Sponsor	Company Recommendation	Ravensource Vote
1	Arrangement Resolution Approve, with or without variation, a special resolution approving a plan of arrangement involving LOGiQ Asset Management Inc. ("LOGiQ"), the Corporation and the securityholders of the Corporation under Division 5 of Part 9 of the Business Corporations Act (British Columbia) as more particularly described in the joint management information circular of the Corporation and LOGiQ relating to the arrangement	Management	For	For

# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Annual General Meeting of Shareholders
Ticker	PLZ.UN	Meeting Date	May 24, 2018
Exchange	TSX	Supporting Document	Management Proxy Circular
CUSIP	72820F103	Vote Date	May 22, 2018
Consent Fee	N/A	Record Date	April 4, 2018

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	Election of Directors	Management		
	Edouard Babineau		For	For
	Earl Brewer		For	For
	Stephen Johnson		For	For
	Denis Losier		For	For
	Barbara Tremholm		For	For
	Michael Zakuta		For	For
2	Appointment of Auditors	Management		
	The re-appointment of KPMG LLP as the auditor of the Trust at a remuneration to be fixed by the trustees.		For	For

# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Special Meeting of Shareholders and Warrantholders
Ticker	NPC	Meeting Date	February 5, 2018
Exchange	TSX	Supporting Document	Management Proxy Circular
CUSIP	630405108	Vote Date	February 1, 2018
Consent Fee	N/A	Record Date	December 28, 2017

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	<p>Arrangement Resolution</p> <p>To consider pursuant to the Interim Order (as defined in the Information Circular) and, if thought advisable, to pass, with or without variation, a special resolution (the “Arrangement Resolution”), the full text of which is set forth in Appendix A attached to the Information Circular, approving a statutory plan of arrangement pursuant to section 192 of the Canada Business Corporations Act involving NAPEC Inc. and 9370-0219 Québec Inc., a company incorporated under the Business Corporation Act (Québec) and organized by Oaktree Capital Management, L.P., as more particularly described in the Information Circular.</p>	Management	For	For



# RavenSource Fund

## Proxy Voting Record

Security Type	Common Shares	Meeting Type	Chapter 11 Plan
Ticker	PSGLQ	Meeting Date	December 12, 2017
Exchange	Unlisted	Supporting Document	Plan of Liquidation and Disclosure Statement
CUSIP	680209103	Vote Date	December 11, 2017
Consent Fee	N/A	Record Date	October 19, 2017

Item	Proposal	Sponsor	Company Recommendation	RavenSource Vote
1	Acceptance of the Chapter 11 Plan	Management	For	For